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Express Mail Label No. EL996743041US

PATENT APPLICATION
Docket No. 15436.250.23.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled OPTICAL MULTIPLEXER AND DEMULTIPLEXER SYSTEMS AND METHODS USING INTERFERENCE FILTERS in the name of Gary C. Marsden.

Enclosed are the following:

- x A specification, claims, abstract, and cover page in total comprising thirty-two (32) pages
- x Six (6) sheets of drawings
- x A Declaration, Power of Attorney and Petition
- x An Assignment conveying the invention to Finisar Corporation, including a Form PTO-1595 recordation cover sheet

- x Enclosed is a non-publication request requesting that the present application not be published.
- x A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EL996743041US.
- x Priority to United States Provisional Application Serial No. 60/417,793 filed on October 11, 2002 is claimed under 35 U.S.C. § 119(e).

The filing fee has been calculated as shown below.

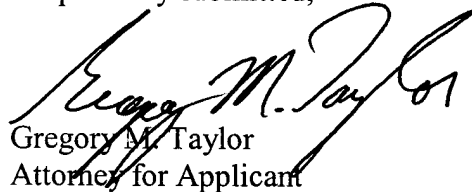
			Small Entity		Large Entity	
	No. Filed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee				\$385		\$ 770
Total Claims	37 – 20 =	17	x 9=		x 18=	\$ 306
Indep. Claims	11 – 3 =	8	x 43		x 86=	\$ 688
Multiple Dependent Claim			+140=		+280=	
			Total		Total	\$1764

- x Credit Card Payment Form PTO-2038 authorizing payment of \$1,804 is enclosed to cover:
- x The \$1,764.00 government filing fee; and
- x The \$40.00 recordation fee for the enclosed assignment.
- x The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed
- x Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- x Any patent application processing fees under 37 C.F.R. § 1.17.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 10th day of October 2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gregory M. Taylor", is written over the printed name and title.

Gregory M. Taylor
Attorney for Applicant
Registration No. 34,263
Customer No. 022913

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		)
		)
	Gary C. Marsden	)
		)
Serial No.:	N/A	)
		)
Filed:	October 10, 2003	)
		)
For:	OPTICAL MULTIPLEXER AND DEMULTIPLEXER	)
	SYSTEMS AND METHODS USING	)
	INTERFERENCE FILTERS	)

NONPUBLICATION REQUEST

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

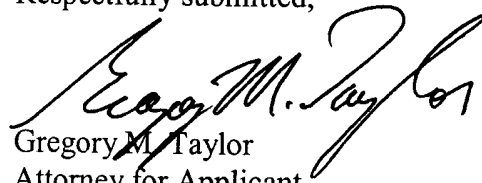
The undersigned Applicant hereby makes a "NONPUBLICATION REQUEST" in accordance with 37 C.F.R. § 1.213(a). As such, the present application is not to be published under 35 USC § 122 (b). Applicant certifies that the application has not been and will not be the subject of an application filed in another country, or under a multi-lateral international agreement, that requires publication of applications eighteen months after filing.

The foregoing certification is predicated upon both objective and subjective factors, any of which the Applicant reserves the right to reconsider at any time in the future for purposes of subjecting the present application to one or more filings in other countries. As such, the Applicant reserves the right under 37 C.F.R. § 1.213(b) to rescind the foregoing

"NONPUBLICATION REQUEST." Moreover, Applicant further reserves the right under 37 C.F.R. § 1.213(c) to subsequently file, in a foreign country or under a multi-lateral international agreement, an application directed to the inventions disclosed in the application filed in the Patent and Trademark Office, should Applicant's reconsideration of said subjective and objective factors deem that such foreign filings would be in the Applicant's best interest.

Dated this 10th day of October 2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gregory M. Taylor", is written over the typed name.

Gregory M. Taylor
Attorney for Applicant
Registration No. 34,263
Customer No. 022913

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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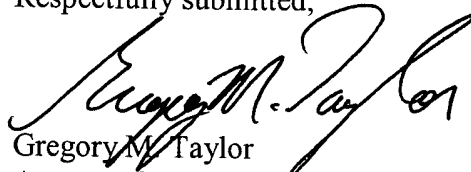
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- Patent Application in the name of Gary C. Marsden for OPTICAL MULTIPLEXER AND DEMULTIPLEXER SYSTEMS AND METHODS USING INTERFERENCE FILTERS
- Drawings (6 Sheets)
- Declaration, Power of Attorney and Petition
- Assignment with Form PTO-1595 cover sheet
- Non-Publication Request
- Transmittal Letter
- Credit Card Payment Form PTO-2038 for \$1,804.00
- Certificate of Express Mailing
- Postcard

Dated this 10th day of October 2003.

Respectfully submitted,



Gregory M. Taylor
Attorney for Applicant
Registration No. 34,263
Customer No. 022913

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